Scientific Justification

Introduction

The accelerating expansion of the universe is one of the more captivating "key scientific questions of our day" identified by the NRC Committee on the Physics of the Universe (Tur02). In the few years since the acceleration was first seen in the Type Ia supernova (SN Ia) Hubble diagram (Per99, Rei98), the evidence has grown even stronger: complementary CMB measurements have indicated that the Universe has zero curvature (Sie03), making the SN Ia result more determinative, and – in combination with the SNe — pointing to a $[\Omega_M \approx 0.3, \Omega_\Lambda \approx 0.7]$ cosmology. This is also consistent with other astronomical mass density measurements (Perc02).

The SN Hubble diagram remains the only direct approach currently in use to study acceleration. There are ongoing studies of the details of all known relevant sources of systematic uncertainty, but none show any biases at a level that might affect the basic acceleration results. These include: any changes with z in host-galaxy extinction by ordinary dust (Per99,Rei98,Sul03), any extinction by intergalactic gray dust (Pra03), gravitational-lensing (de)amplification of SN magnitudes (Per99), discovery selection effects (Per99,Rei98), K-correction systematics (Nug02), and population drifts in the SN environment (Sul03).

With these advances in hand, it is now time to pursue the cause of the acceleration — the "dark energy" — be it a simple "energy of the vacuum" (Einstein's cosmological constant, Λ) or a general dynamical scalar field (as is assumed responsible for inflation). Although extremely challenging, we can in fact address this scientific question in several, ordered steps: large projects are just now starting which will test the hypothesis that the expansion is *consistent with* Λ, which is characterized by a constant equation of state ratio $w \equiv p/\rho = -1$. Later projects will tackle the still more difficult goal of detecting changes in w indicative of scalar field models. (The satellite experiment, *SNAP* has been proposed for this work.) This proposal is aimed at the first key step — testing Λ. By adding strategic HST observations for a sample of SNe Ia from a new, ambitious multi-year ground-based SN project, it will be possible to dramatically improve the efficacy of these next-generation SN Hubble diagrams for testing Λ.

Importance of Systematic Errors

Redshift optimization studies (Hut01) have shown that for the case of a constant equation of state, and assuming that systematic uncertainties can be sufficiently controlled, the easiest test of a constant Λ can be accomplished with a well-measured Hubble diagram around $z \sim 0.5$. Large ground-based dedicated projects have now begun with the goal of collecting from 200 (CTIO *Essence* project) to 500 (CFHT SuperNova Legacy Survey, *SNLS*) SN Ia lightcurves. The photometric uncertainty for each of these SNe will contribute statistical errors that are significantly smaller than the current estimates (Phi99) of intrinsic peak magnitude dispersion — $\sigma_{\rm peak} < 0.15$ mag, after correcting for extinction and lightcurve timescale (e.g. stretch or Δm_{15}). The statistical error for the Λ test will scale as $\sqrt{N}$, giving a statistical uncertainty of less than 0.01 mag for $N \sim 200$ SNe. Since the current systematic uncertainties are much larger than this (Per99, PeSc03?) the measurements from these large projects will be entirely limited by systematic errors.

These major ground-based efforts are therefore only meaningful if the dramatic improvement in statistical uncertainty is matched by corresponding improvement in systematic uncertainty. The

Essence and *SNLS* projects both use discovery and follow-up strategies, and target redshifts, such that there will be negligible systematics from Malmquist bias, gravitational lensing, K-corrections, or gray dust (given the Pae03 limit on its density). However, there are not good constraints on systematic errors arising from any small changes to $z \sim 0.5$ in the intrinsic B-V color of SNe Ia or the value of the reddening ratio, $R_B \equiv A_B/E(B\text{-}V)$. There are also important tests of intrinsic SN population drift that remain necessary. The potential impact of these problems is illustrated in Fig. 1, where we show an example where a realistic systematic error of $\Delta m \sim 0.03(z/0.5)$ would lead these experiments to incorrectly conclude that that dark energy is not Λ. We here propose to constrain these systematics by observing a significant sub-sample of 30 of the $z \sim 0.5$ *SNLS* or *Essence* SNe Ia in the rest-frame I-band with NICMOS F110M imaging at maximum light and at a key post-maximum epoch. These NIR observations would be a unique HST contribution (see Description of Observations).

Proposed Measurement — How NICMOS Constrains Systematics

Restframe I-band photometry at maximum light from NICMOS can be used in conjunction with the full ground-based lightcurves in B and V, to obtain an $I_{\rm max}$ SN Ia Hubble diagram at $z \sim 0.5$, which is dramatically less affected by extinction — or by the uncertainty in the intrinsic SN color and R_B or R_I values needed to correct this extinction. As a complement to the standard I_{max} method, we propose to employ a new technique we have developed for standardizing SNe Ia. The CMAGIC method (Wan03) uses a very different approach than the usual lightcurve-width method, and thus by comparison provides a systematics test, as well as potentially provides a more robust measurement on its own with respect to several systematics. This method calibrates SNe Ia magnitudes at a fixed post-maximum color — rather than at maximum light — using a simple linear relation between magnitude and color having a fixed slope for all SNe Ia (see Fig. 3 and Wan03). In I-band, the dependence on lightcurve width is very small (and consistent with zero), and the extinction correction is 1/2 that required for I_{max} due to correlation between SNe Ia color and extinction. In the B-band, this technique has worked for every well-measured SN Ia and has achieved standardization with a remarkably small dispersion of only 0.08 mag (Wan03). Although the available test data is limited, in I-band this method seems to work at least as well as I_{max} standardization using Δm_{15} (Phi99). Spectroscopically, SNe Ia appear much more uniform after maximum light, while spectropolarimetry indicates that any asymmetry in the photosphere is confined to earlier epochs. This suggests that the post-maximum period during which our technique is applicable ($+12$ to $+25$ days after maximum in I-band) represents a period when the behavior of SNe Ia is more homogeneous. Taken together, this suggests that I-band CMAGIC is least sensitive to extinction uncertainties, should be much less sensitive to any evolution in the luminosity-width relation, and may provide better homogeneity.

Constraining systematic drift in extinction-related quantities

As a quantitative example of how the proposed I-band observations can address systematic errors due to drifts in extinction-related quantities, let us take the current uncertainty in intrinsic SN Ia color (after calibration for lightcurve width), which is $\sigma(B\text{-}V)_0 \approx 0.03$ mag (Phi99). If there were a systematic change with redshift in this color of $\Delta(B\text{-}V)_0 = 0.015$ mag — only half this dispersion — it would produce an error in the extinction correction of $\Delta A_B = R_B\ \Delta(B\text{-}V)_0 \approx 0.06$ mag for a restframe B-band Hubble diagram. For an I_{max} Hubble diagram this error would

be only $\Delta A_I = R_I\ \Delta(B\text{-}V)_0 \approx 0.03$ mag. We would be able to use the B-I color rather than B-V, dropping the systematic uncertainty in I_{max} to almost half this value, $\Delta A_I = (R_I/2.4)\Delta(B\text{-}V)_0 \approx 0.02$ mag, even accounting for the less certain intrinsic B-I color ($\sigma(B\text{-}I)_0 \approx 0.045$ mag (Phi99)). With our post-maximum technique the systematic uncertainty would be only 0.02 mag for B-I or B-V. Systematic errors due to changes in R_B would be reduced in an analogous way. The drop in systematic uncertainty gained with the NICMOS data is the factor of $\sim$3 needed to begin to match the statistical improvement from the two major ground-based projects.

Constraining systematic drift in intrinsic SN progenitor populations

The possibility of a drift from $z = 0$ to 0.5 in the properties of SN Ia progenitors remains as a source of systematic uncertainty that must be tested. SN host galaxy environments serve as one likely indicator of progenitor properties. For example, E/S0 galaxies follow a much different evolution history than spirals, therefore any evolution in progenitor properties should be different in these different host galaxy types. Thus, an important test can be made by separately studying the Hubble diagrams for E/S0 and spiral hosts. Using several years worth of our HST data, we have recently published the first implementation of this test (Sul03), which showed the same cosmological results for a Hubble plot of 12 E/S0 galaxies as for a Hubble plot of 45 spiral galaxies (see Fig. 2). The two subsamples agree within their $\pm$0.1 uncertainties for Ω_M or Ω_Λ in a flat cosmology. The current proposal's NICMOS images will double the sample size of morphologically-typed high-redshift SN Ia hosts required for this important test. Together with the accompanying larger low-redshift sample — and more accurate SN measurements than were possible for the earlier SNe Ia — this test can be bring the constraint on this source of systematic uncertainty down below the 0.05 level in Ω_Λ. More typed-hosts will be needed to apply this test at the level needed to reach the final statistical goals of *SNLS* and *Essence*, and follow-on years of this NICMOS program would be a natural way to do this. We further note that there are hints that lightcurve width may be correlated with the host stellar population, so the fact that our post-maximum standardization technique has little dependence on lightcurve width suggests that it coule be less affected by drift in the progenitor population.

Note that one might have considered testing the intrinsic color drift by comparing SN colors in spiral host galaxies with those in ellipticals; however, this color difference would be confused with any population drift out to $z = 0.5$ *within* these host-galaxy subsets. We therefore test for intrinsic color drift separately within each of these host-galaxy subsamples, and then separately test for intrinsic SN population drift.

Conclusion

The two new ground-based supernova projects that have begun are committing very large amounts of dedicated telescope time with wide-field instruments to the goal of testing the possibility that dark energy is Λ. If they are to succeed, the ground-based work must be complemented by redder photometry measurements — to control extinction and progenitor evolution systematics — that are only available with HST. We here propose a highly efficient use of NICMOS — based on two complementary techniques — to achieve this goal, and thereby provide the crucial improvement in control of systematic uncertainties necessary to match the statistical uncertainty of which *SNLS* and *Essence* are capable.

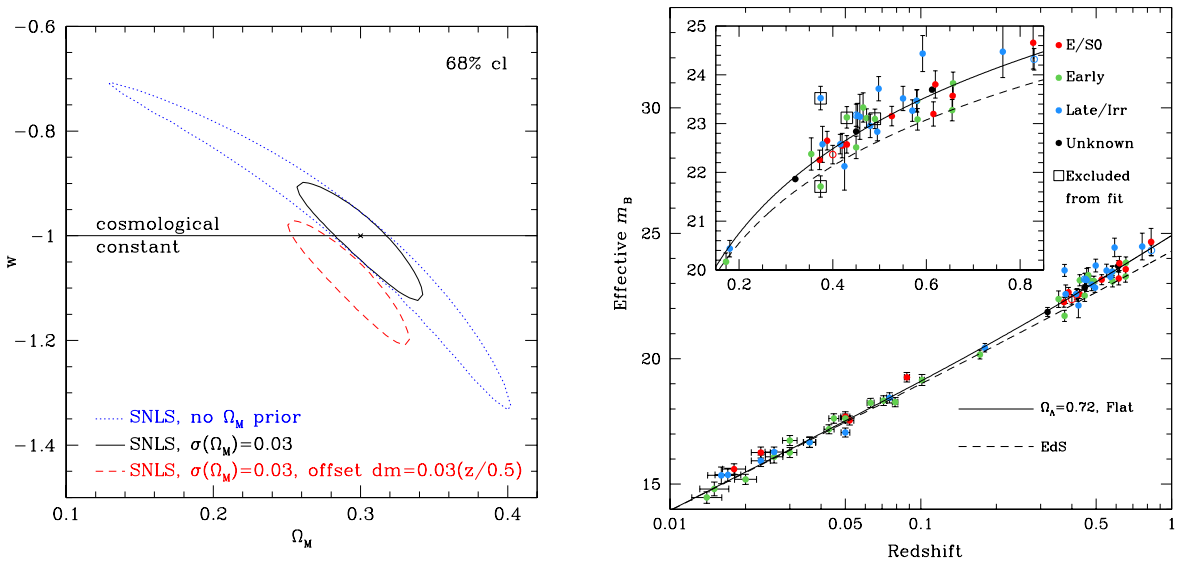

Figure 1: Figure 1 (Left): Projected 68% statistical confidence intervals on the dark energy equation of state possible from *SNLS* when it is completed 5 years from now, assuming a flat universe (from CMB measurements), and with (black-solid) and without (blue-dot) a prior of Ω_M from Large-Scale Structure. If a small systematic error is introduced, the statistical confidence interval will miss the correct simulated Λ ($w = -1$) solution by 2σ (red-dash). Figure 2 (Right): Our major new result based on several Cycles of HST data showing the stretch-corrected SNe Ia Hubble diagram for the SCP dataset plotted according to the class of the host galaxy. The inset shows the high-redshift SNe, the main panel the Hubble diagram for the entire sample. SNe Ia in E/S0 host galaxies show significantly less scatter than those in later types, and the E/S0 and spirals give values of Ω_Λ and Ω_M for a flat universe which agree to within their 0.1 uncertainty (Sul03).

References

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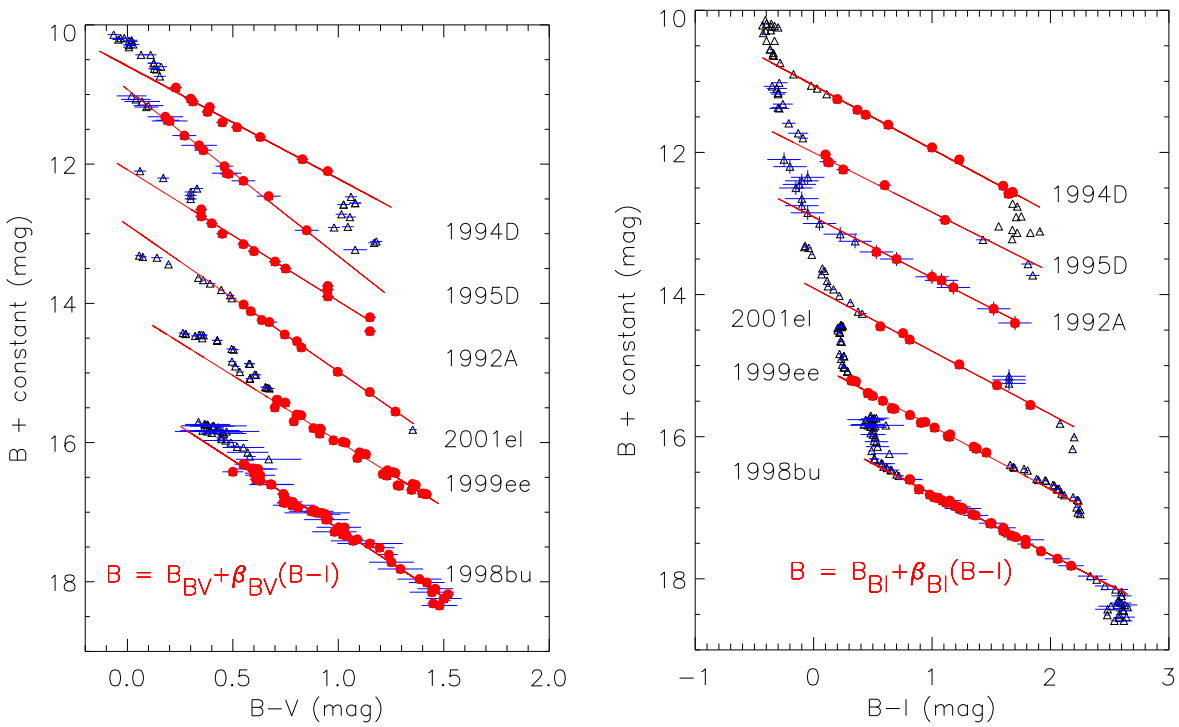

Figure 2: The Color-Magnitude Diagram for several example SNe Ia ordered by host-galaxy extinction going from top to bottom . The left pannel shows the B vs B-V and the right B vs B-I. The triangles show data points taken 0 to 35 days after B_{max}. The data shown as large red dots correspond to epochs during which the relation between magnitude and color is linear of all SNe (this is 13–25 days after B_{max} for B-I. Using this linear relation we define fiducial magnitudes, which are found to be better distance indicators than maximum-light magnitudes (Wan03).

Description of the Observations

This proposal requests three 1/2-orbit NIC1 F110M exposures — near maximum light, 2-3 weeks after maximum, and a year later — for each of 30 SNe Ia in the redshift range $0.4 < z < 0.7$. The density of SNe Ia in each *SNLS* field is high enough that two nearby (separation less than 1 degree) targets can be observed in the same orbit. We have done this in previous programs and have confirmed the efficacy of this procedure using RPS2.

Exposure Times: The at-maximum photometry point will provide the measurement of restframe I_{max}. The NICMOS ETC, as well as scaling from our Cycle 11 NIC2 photometry, indicates that the at-maximum photometry point will have $S/N \sim 25$ after subtraction of a reference image. This meets our requirement for a B-I color with uncertainty better than 0.05 mag, which enables our check for color evolution and keeps the extinction correction below the intrinsic dispersion among SNe Ia. The post-maximum photometry point will provide the CMAGIC magnitude. During this period the SNe Ia will be roughly half as bright and the photometry will have $S/N \sim 14$ after subtraction of final reference images, which will roughly match the best CMAGIC intrinsic

dispersion. After the SNe Ia fade (roughly a year later), a 1/2 orbit NIC1 F110M image will be needed to subtract the host galaxy light from both the at-maximum and post-maximum SN+galaxy images.

Strategy: The observing strategy is coupled to coordinated ground-based programs, and is described under Coordinated Observations.

Filter Choice: The F110M filter provides a good match to restframe I over most of the targeted redshift range (the same techniques will also be used with restframe R-band at the highest targeted redshifts). The F110W filter is more sensitive, but it is so broad that on the red side it will contain SN light for which there is no reference data, while its blue side extends into the restframe V and B thereby negating the decreased sensitivity to extinction which is central to our program. F110M is available only on NIC1 — the superior resolution of NIC1 will help in separating host light from SN light.

Sample Size: *SNLS* will produce roughly 65 well-measured SNe Ia per year in the $0.4 < z < 0.7$ redshift range. The nominal statistical uncertainty in the mean SN brightness in a bin of $\Delta z = 0.1$ at $z = 0.5$ will be ~ 0.02 mag from this sample. However, a systematic error of $\Delta m \sim 0.03(z/0.5)$ would limit the *systematic* accuracy to the *statistical* accuracy achievable from a sample of roughly 30 SNe Ia. We take this as a suitable sample size for our systematics-suppressed I-band Hubble diagram. With this sample and our target color uncertainties we will be able to compare B-I intrinsic colors between low- and high-redshift SNe Ia in E/S0 and spiral subsamples at a level of 0.01 mag (for low-redshift versus high-redshift spiral) to 0.02 mag (comparing high-redshift E/S0's with high-redshift spirals). With regards to extinction, our methodology allows us to suppress systematics in our dataset below this level. (It is important to note that the low-redshift I-band data will improve during Cycle 12 with the advent of the Nearby Supernova Factory (Ald02), so better calibration, and tighter constraints from the proposed NICMOS data, are expected.)

The Need for HST: Our targets have $J \sim 22.1$ at maximum and $J \sim 22.8$ during the post-maximum period; were we to attempt this program using ground-base 8-m telescopes roughly 11 hrs per SN would be required to obtain comparable data (two lightcurve points plus matching final reference). Moreover, even on queue-scheduled 8-m's we have found that NIR instrumentation is often relegated to bright time. The SNe which would come to maximum light at that time will have poor ground-based optical data, which is a necessary complement to the restframe I-band data and needed to obtain the lightcurve width and the peak B-band magnitude. The proposed space-based follow-up will be homogenous and robust. In constrast, our experience with small ground-based follow-up programs carried out over the last serveral years with comparable NIR requirements has been that problems of instrument availability, schedulability at the correct epochs, cross-telescope calibration, etc., result in a significant reduction of the sample that is ultimately usefully observed. Even our top-ranked NIR proposals at VLT and Gemini have been unable to get good data on more than a couple of SNe Ia in a semester. Thus, attempting 30 SNe Ia from the ground at 11 hrs each would be unlikely to succeed even if all the requested time (~ 40 nights per year) were granted.

Breakdown of Total Requested Orbit Count

Number	Exposure	When	Total
30 SNe	at 1/2 orbit each	at max	15 orbits
30 SNe	at 1/2 orbit each	at $+12$ to $+25$ days	15 orbits
30 final refs	at 1/2 orbit each	a year later	15 orbits
Total:			45 orbits

Note that 30 of these orbits would be scheduled this HST Cycle, and 15 the following Cycle.

Special Requirements

As described below, we will arrange with the HST schedulers to put one orbit every 10 days for a period of 4 months for each field on the HST calendar. Depending on the time of year, we will be monitoring 2 or 3 fields, so this is a very minor load on the schedule. Prior to the building of the flight calendar we will provide HST with the precise coordinates of each target. This will be similar too, but even simpler than, arrangements we have made with HST over the last several Cycles for the observation of high-z SNe Ia.

Coordinated Observations

Both the *SNLS* and *Essence* projects are discovering supernovae in a "rolling search" mode, in which the same fields are revisited every few nights (with observations in multiple filters) over several months. This means that any supernova in the field can be discovered within a few days of explosion, and all the SNe in the field are followed with photometry every few nights over the following few months. Most (or all) of the SNe that will be used for this current proposal will likely come from the *SNLS* since most of the proposers are either affiliate or members of the *SNLS* team (in particular, Reynald Pain is a leader of that project); the *Essence* data and discovery announcements are publicly available publicly as part of the NOAO Science Archive and we would be happy to follow those SNe as appropriate.

There are several advantages for this proposal from this mode of discovery and follow-up. First, there will be a continuous rate of supernova discoveries in the redshift range around $z \sim 0.5$ — approximately 65 per year from the *SNLS* search. This allows just a couple of orbits to be scheduled per week for this HST program (to follow $\sim$ 4 SNe per week at maximum or post-maximum), providing more HST scheduling flexibility. These discoveries will all be in one of the few predetermined *SNLS* survey fields, which are small enough that the HST can be scheduled many weeks in advance to observe a target in the field and then the final exact coordinates given one week in advance of the observation. This observing mode (which we have used extensively for HST follow up of high-redshift SNe) avoids the inefficiency of ToO observations.

The discoveries are triggered in restframe U, B, and V bands about two observer-weeks before the supernova reaches maximum light in restframe I-band (which is just a couple of days before the B-band maximum). We will obtain Keck and VLT spectroscopy to determine the redshift, type (Ia, II, etc.), and lightcurve phase for each SN. *SNLS* photometry will provide photometric redshifts to help with the selection of spectroscopic targets, and can provide photometric redshifts

as back-up. The spectroscopy and photometry will provide predictions of the date of maximum, allowing the selection of just the right $z \sim 0.5$ SNe Ia and a ± 3 day prediction of the date of I-band maximum. The "rolling search" and follow-up yields sufficiently high S/N observations in restframe B- and V-band throughout the lightcurves of these SNe that the $B_{\rm max}$, B-V color, date of maximum light (in B-band, and hence in I-band), and lightcurve timescale stretch (or Δm_{15}) will all be known to a precision that is better than needed for the known intrinsic dispersion of the standardization methods.

As each $z \sim 0.5$ SN Ia is identified, it will be placed into the next available observing slot closest to its date of maximum light. The post-maximum observations at $+12$ to $+25$ restframe days ($\sim$ 16–40 observer days) for a previously observed SN will be used to fill the balance of the orbit. The wide range of suitable post-maximum dates means that post-maximum observations of two SNe Ia can be taken on those occasions when there isn't a suitable SNe at maximum. This program is powerful, yet robust to weather and as simple an HST SN program as is possible.

In addition, we have an independent program to obtain extensive $0.34 < \lambda < 1.0$ μm spectrophotometry of nearby Hubble-flow SNe Ia, which will greatly improve the calibration in the restframe R- and I- bands and provide the reference for obtaining relative distances to the high-z SNe Ia from this HST program.

Justify Duplications

None - these are all unique observations of transient events.

Previous Related HST Programs

This proposal builds on our previous HST programs that have studied ~ 30 mid to high redshift SNe, providing multi-epoch lightcurves and images of host galaxies using WFPC2, NICMOS, STIS, and most recently, ACS.

GO-9705: In this program, we pushed our SNe Ia studies to the highest redshifts that are feasable for a ground-based discovery and spectroscopic identification campaign. HST follow-up observations for this program started after servicing mission 3B in March 2002 and have been completed for the most past - final reference images are still to be taken. Coordinated with three large search campaigns using the Subaru 8.2 m and also with simultaneous smaller searches using the CTIO 4 m and CFHT 3.6 m, we obtained ACS/WFC and NICMOS/NIC2 photometry for multi-epoch lightcurves of eight Type Ia SNe at high redshift $(0.9 < z < 1.3)$. For two of the highest redshift SNe, ACS grism spectra were taken. Analysis of this ACS data is in progress. With the refurbished NICMOS, we obtained final reference images of the host of SN1998eq, which we had previously studied in G0-8088, and these images will allow us to complete that analysis.

GO-8585: In GO 8585 we observed six Type Ia supernovae with HST using WFPC. The supernovae were discovered in ground based searches at the CTIO 4-m, CFHT and Subaru telescopes. We obtained both U- and B-band restframe photometry (using either F814W or F850LP depending on the redshift) for each supernova for a period of 2 months. Analysis of this data will be completed when the final reference images are available, scheduled for spring 2003.

GO-8313: The objective of this project, which has now been completed with a publication in press (Sul03), was to obtain snapshot unfiltered STIS images of distant galaxies of known redshift which have hosted supernovae (SNe) of Type Ia found by the SCP, 20 of which are used in the Hubble diagram of 42 type Ia SNe (Perlmutter *et al.* 1999). In Sullivan *et al.* (2002, submitted) we present these new results on the Hubble diagram of SNe Ia as a function of host galaxy morphology that demonstrates that host galaxy extinction is unlikely to systematically dim distant SN Ia in a manner that would produce a spurious cosmological constant. The internal extinction implied is small, even for late-type systems ($A_B < 0.3$), and the cosmological parameters derived from those SNe Ia hosted by (presumed) dust-free early-type galaxies are consistent with our previous determination of a non-zero Λ. The brightness scatter about the Hubble line for SNe Ia in these early-type hosts is also significantly smaller than for the SNe Ia in late-type galaxies. This result was based on HST STIS "snapshot" images and Keck spectroscopy of SNe spanning the range $0.3 < z < 0.8$.

GO-8346: We had the unique opportunity of following up SN200fr, which had been discovered *14 days prior* to maximum light in its restframe. Because this supernova at z=0.54 was discovered so early we were able to obtain excellent light curves from HST in F555W, F675W and F814W spanning the period from one week prior to maximum light to 6 weeks after Several spectra of the supernova were taken at VLT and Keck along with NIR photometry at VLT. To date, this is still the best observed high-redshift supernova and preliminary results were presented in Nobili, S. *et al.* 2001, AAS, 199,1611N.

DD-8088: WFPC2 and NICMOS (cycle 7) observations were obtained for SN1998eq at $z = 1.20$ (another record-breaking redshift for a spectroscopically confirmed Type Ia supernova; Aldering, *et al.*, 1998,IAUC,7046.) The preliminary photometry is consistent with the previous results for Ω_M, Ω_Λ. With the final NICMO image of the galaxy without the supernova recently obtained in December 2002, this analysis can now be completed.

GO-7850 and balance of **GO-7336** and **DD-7590**: WFPC2 and NICMOS observations were obtained for 11 Type Ia supernovae in the redshift range 0.36—0.86. These observations, including final references where necessary, are now complete, and the results are about to be submitted for publication in Knop, R., *et al.* 2003, (in preparation). A preliminary Hubble diagram was presented January 2002 AAS meeting. The cosmological results from these SNe are in close agreement with results from the first supernova results (Per99) that gave direct evidence for a cosmological constant. The lightcurves provided by WFPC2 for these supernovae were excellent; at the higher redshifts, these lightcurves provide a substantially better measurement of the calibrated supernova magnitude than those for comparable supernovae observed only from the ground. The color information provided by NICMOS (Burns, S., *et al.*, 2001,AAS,199.1610B), was only possible with HST. The improvement of the confidence limits on the cosmological parameters Ω_M and Ω_Λ are as good as we had previously predicted.

GO-7336 and **DD-7590**: Perlmutter *et al.*, 1998, Nature, 391, 51 reported the results of our HST and ground-based imaging and Keck spectroscopic observations of SN1997ap, then the *highest redshift* ($z = 0.83$) *spectroscopically confirmed* Type Ia supernova. The HST portion is based on a total of 4 orbits. Also from this program, HST observations of two $z = 0.83$ are included in the analysis in Per99 which reports on the results from our HST and ground-based imaging and

Keck spectroscopic observations of 42 type Ia supernovae with $0.18 < z < 0.86$. The paper rules out a flat $\Omega_M = 1$ universe and presents very strong evidence for a positive cosmological constant.